

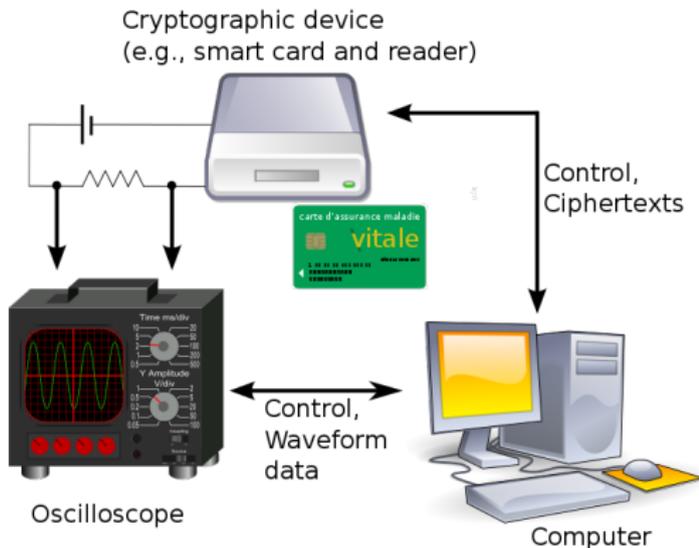
# Side-Channel Attacks and Countermeasures

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University of Luxembourg

# Side-channel Attacks

- Use side-channel information during execution
  - Timing attack, power attack, fault attack



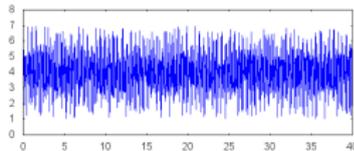
# Differential Power Analysis [KJJ99]

Group by predicted  
SBox output bit

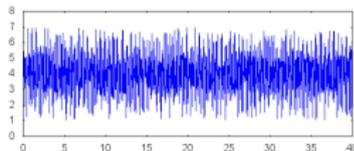
1



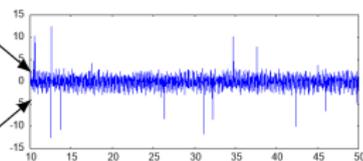
Average trace



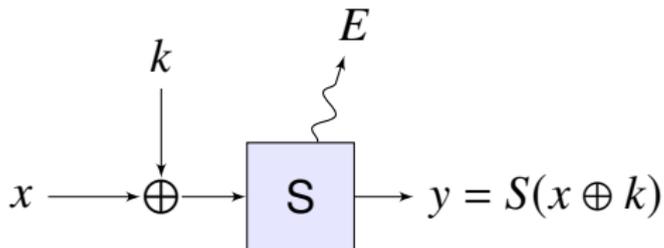
0



Differential trace



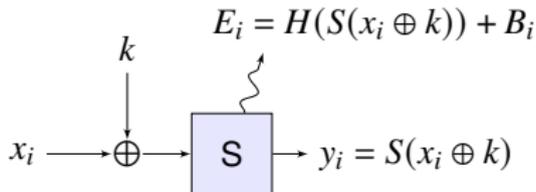
# Side-channel Attack on SBOX computation



- SBOX computation  $y = S(x \oplus k)$  for  $x, k \in \{0, 1\}^8$ 
  - We assume that the power consumption  $E$  is correlated to  $S(x \oplus k)$
  - $E = H(S(x \oplus k)) + B$ , where  $H()$  is the Hamming weight and  $B$  is some noise.

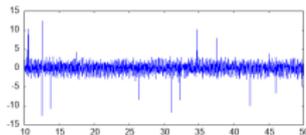
# Statistical Analysis of Power Consumption

- We get many power acquisitions for unknown subkey  $k$ :



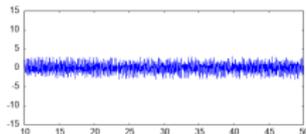
- Correct subkey  $k$  with  $y_i = S(x_i \oplus k)$ :

$$\text{Corr}((E_i), (y_i)) \neq 0 \rightarrow$$



- Incorrect subkey  $k'$  with  $y'_i = S(x_i \oplus k')$ :

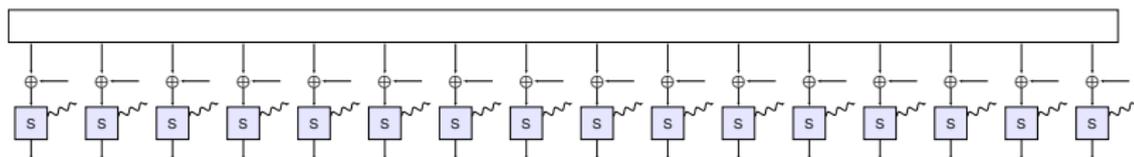
$$\text{Corr}((E_i), (y'_i)) = 0 \rightarrow$$



- We can distinguish the two and recover the subkey  $k$

# Recovering the secret-key

- For AES, we can apply the same attack separately on each of the 16 SBoxes of the first round



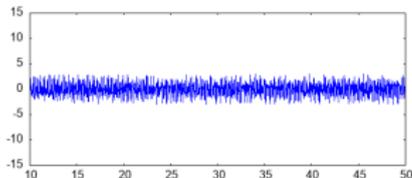
- Without countermeasures, only a few thousand power acquisitions are required to recover the secret-key.

# Countermeasure

## Masking countermeasure

Let  $x$  be a variable dependent on the secret-key:

- Generate a random  $r$  (different for each execution)
  - Mask  $x$  using  $r$ :  $x' = x \oplus r$
  - Manipulate  $x'$  (instead of  $x$ ) and  $r$  independently
- $r$  is random  $\Rightarrow x'$  is random  $\Rightarrow$  power consumption of  $x'$  is random  $\Rightarrow$  no information on  $x$  leaks



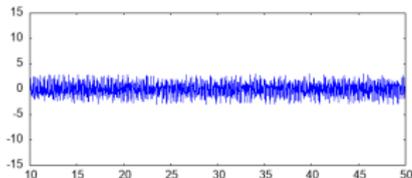
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# First-order masking countermeasure

- How do we compute with  $x' = x \oplus r$  instead of  $x$  ?

## Linear operations: easy

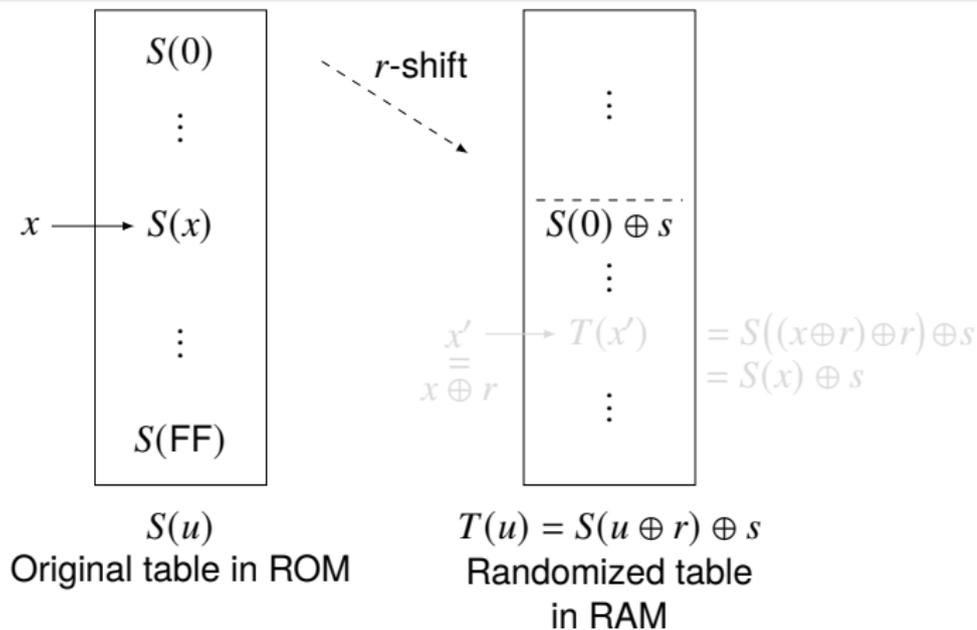
$$x = x' \oplus r \quad \Rightarrow \quad f(x) = f(x') \oplus f(r)$$

- We compute  $f(x')$  and  $f(r)$  separately.
- $f(x)$  is now masked with  $f(r)$  instead of  $r$ 
  - We can write  $f(x) = (f(x') \oplus s \oplus f(r) \oplus r \oplus s) \oplus r$
  - $\Rightarrow f(x)$  is still masked by  $r$ .
  - Example: MixColumns in AES
- Non-linear operations (SBOX):  
randomized table countermeasure  
[CJRR99]

# Processing non-linear operations

## Randomized table countermeasure [CJRR99]

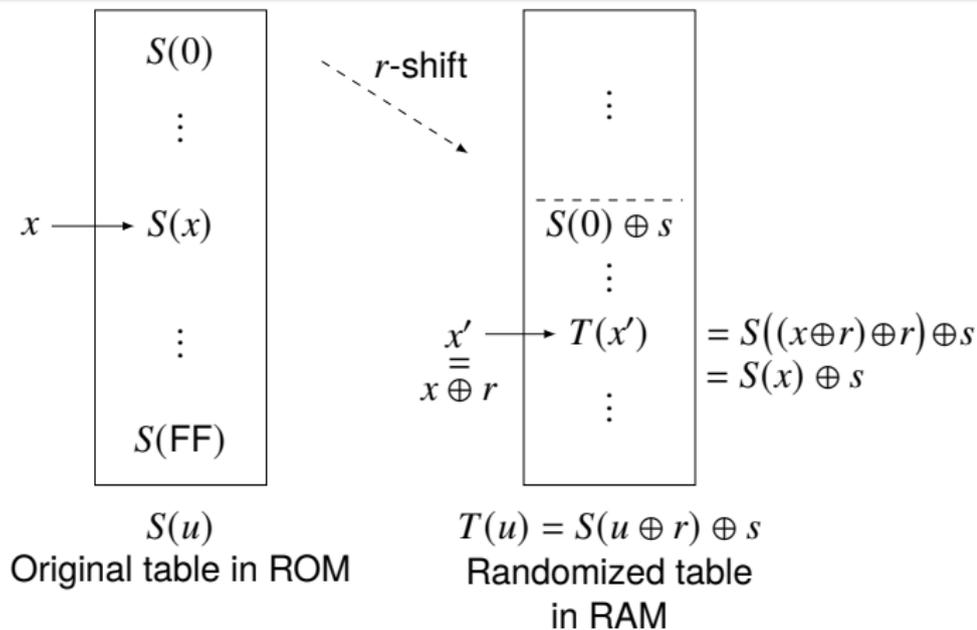
- Table  $S(x)$  is shifted as  $T(u) = S(u \oplus r) \oplus s$
- One reads  $y = T(x') = T(x \oplus r) = S(x) \oplus s$



# Processing non-linear operations

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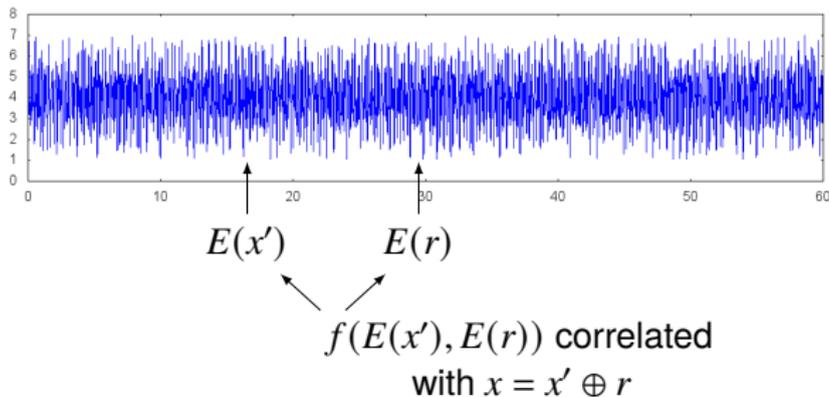
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# Second-order power attacks

## Second-order DPA

- Combine the leakage of  $x' = x \oplus r$  and the leakage of  $r$  to recover information about  $x$
- Requires more power curves but can be practical



# Solution: Higher-Order Boolean Masking

## Basic principle

Each sensitive variable  $x$  is shared into  $n$  variables:

$$x = x_1 \oplus x_2 \oplus \dots \oplus x_n$$

- Generate  $n - 1$  random variables  $x_1, x_2, \dots, x_{n-1}$
- Initially let  $x_n = x \oplus x_1 \oplus x_2 \oplus \dots \oplus x_{n-1}$

## Security against DPA attack of order $n - 1$

- Any subset of  $n - 1$  shares is uniformly and independently distributed
- $\Rightarrow$  If we probe at most  $n - 1$  shares  $x_i$ , we learn nothing about  $x$

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# High-order masking of Boolean circuits

## Ishai-Sahai-Wagner private circuit [ISW03]

- The adversary can probe any subset of at most  $t$  wires
  - Algorithm to transform any Boolean circuit  $C$  of size  $|C|$  into a circuit of size  $O(|C| \cdot t^2)$  that is perfectly secure against such an adversary.
- 
- Any Boolean circuit can be written with only Xor gates  $c = a \oplus b$  and And gates  $c = a \times b$ .
    - High-order masking of  $c = a \oplus b$ : easy since linear.
    - High-order masking of  $c = a \times b$ : more complex.
  - For security against  $t$  probes, one must use at least  $n = 2t + 1$  shares.

# High-order masking of $c = a \oplus b$

## Computation of $a \oplus b$

- **Inputs:**  $(a_i)_i$  and  $(b_i)_i$  such that
  - $a_1 \oplus a_2 \oplus \dots \oplus a_n = a$
  - $b_1 \oplus b_2 \oplus \dots \oplus b_n = b$
- **Output:**  $(c_i)_i$  such that
  - $(a_1 \oplus b_1) \oplus (a_2 \oplus b_2) \oplus \dots \oplus (a_n \oplus b_n) = a \oplus b \Rightarrow$   
 $c_1 \oplus c_2 \oplus \dots \oplus c_n = a \oplus b$

- We compute  $c_i = a_i \oplus b_i$  independently for each  $i$
- Complexity:  $O(n)$  for  $n$  shares  
 $\Rightarrow$  very efficient

# High-order secure multiplication

## Secure Computation of $a \times b$

- **Inputs:**  $(a_i)_i$  and  $(b_i)_i$  such that
  - $a_1 \oplus a_2 \oplus \dots \oplus a_n = a$
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- Secure against  $t$  probes for  $n = 2t + 1$  shares.
- Number of operations:  $O(t^2)$
- Requires  $O(t^2)$  randoms per multiplication.

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# High-order secure multiplication (AND Gate)

- To high-order compute  $c = a \times b$ , one writes

$$\begin{aligned}c &= a \times b = \left( \bigoplus_{i=1}^n a_i \right) \cdot \left( \bigoplus_{i=1}^n b_i \right) \\ &= \bigoplus_{1 \leq i, j \leq n} a_i b_j\end{aligned}$$

- The cross-products  $a_i b_j$  are recombined without leaking information about the original inputs  $a$  and  $b$ .
  - For this, one needs  $n(n-1)/2$  additional random bits  $r_{ij}$ .

# The secure multiplication [ISW03]

## Algo. SecMult

**Input:**  $\bigoplus_i a_i = a$  and  $\bigoplus_i b_i = b$

**Output:** shares  $c_i$  satisfying  $\bigoplus_i c_i = ab$

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$$\begin{pmatrix} a_1 b_1 & r_{1,2} & r_{1,3} \\ (r_{1,2} \oplus a_2 b_1) \oplus a_1 b_2 & a_2 b_2 & r_{2,3} \\ (r_{1,3} \oplus a_3 b_1) \oplus a_1 b_3 & (r_{2,3} \oplus a_3 b_2) \oplus a_2 b_3 & a_3 b_3 \end{pmatrix} \begin{matrix} \rightarrow c_1 \\ \rightarrow c_2 \\ \rightarrow c_3 \end{matrix}$$

# Ishai-Sahai-Wagner (ISW) Scheme

## Decomposition of the $c_i$

$$\bigoplus_i c_i = \left( \bigoplus_i a_i \right) \left( \bigoplus_i b_i \right) = \bigoplus_{i,j} a_i b_j$$

## Example for $n = 3$

$$\begin{pmatrix} a_1 b_1 & a_1 b_2 & a_1 b_3 \\ a_2 b_1 & a_2 b_2 & a_2 b_3 \\ a_3 b_1 & a_3 b_2 & a_3 b_3 \end{pmatrix}$$

For  $n$  shares: requires  $n(n-1)/2$  fresh random values

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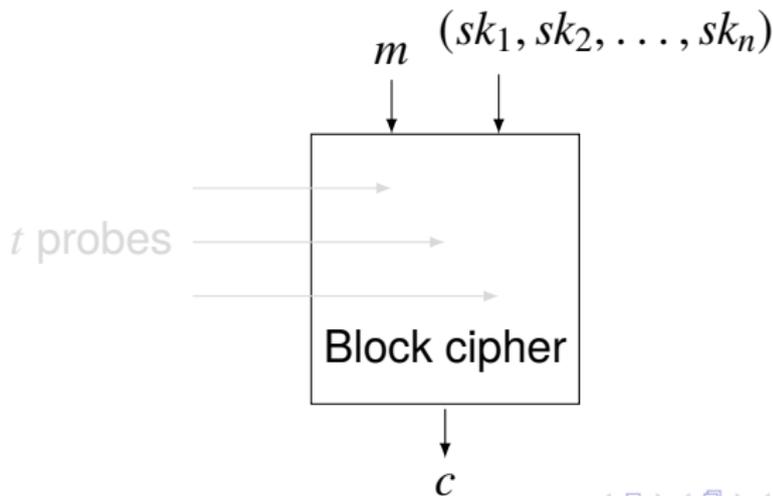
# ISW security model

- The  $t$ -probing model

- Protected block-cipher takes as input  $n = 2t + 1$  shares  $sk_i$  of the secret key  $sk$ , with

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- Prove that even if the attacker probes  $t$  variables in the block-cipher, he learns nothing about the secret-key  $sk$ .



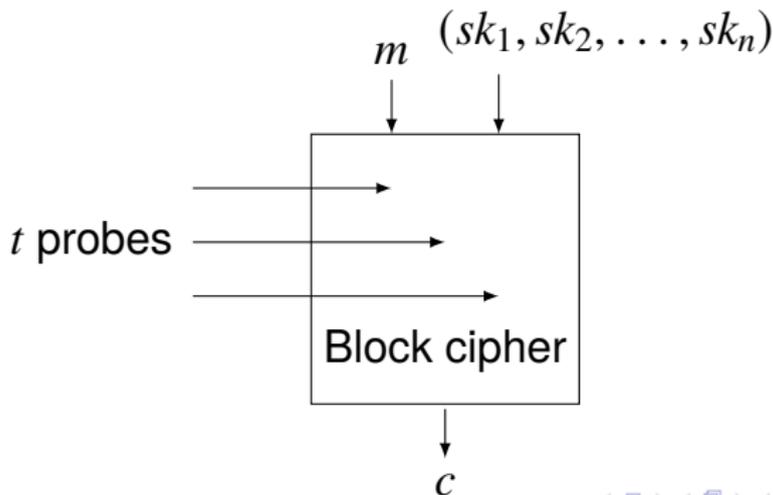
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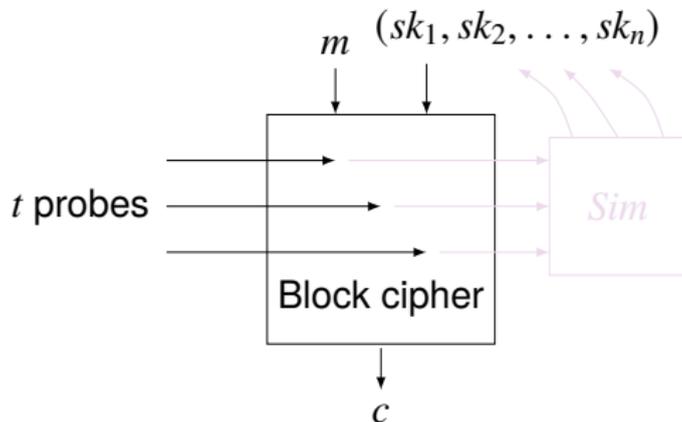
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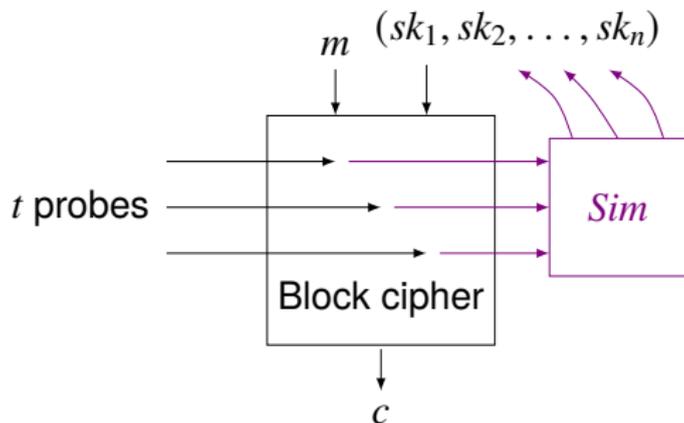
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- Show that any  $t$  probes can be perfectly simulated from at most  $n - 1$  of the  $sk_i$ 's.
- Those  $n - 1$  shares  $sk_i$  are initially uniformly and independently distributed.
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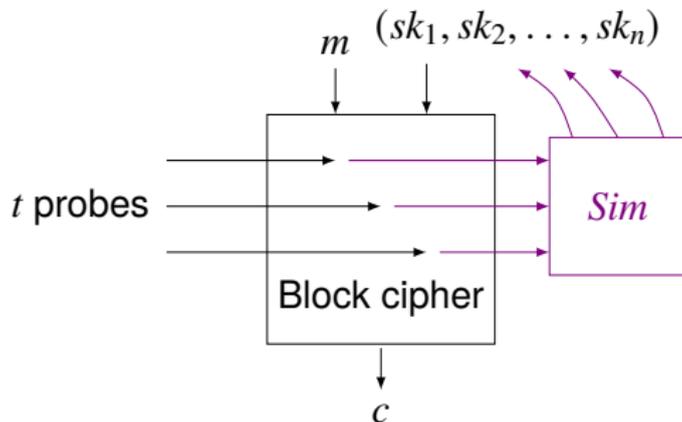
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# ISW security model

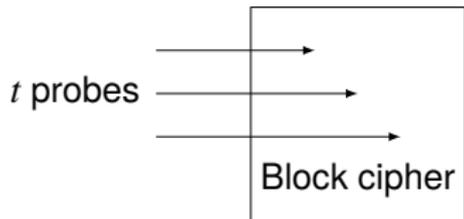
- Simulation framework of [ISW03]:



- Show that any  $t$  probes can be perfectly simulated from at most  $n - 1$  of the  $sk_i$ 's.
- Those  $n - 1$  shares  $sk_i$  are initially uniformly and independently distributed.
- $\Rightarrow$  the adversary learns nothing from the  $t$  probes, since he could simulate those  $t$  probes by himself.

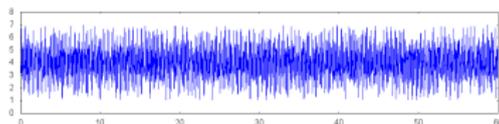
# Probing Model vs. Reality

- Probing model
  - The attacker can choose at most  $t$  variables
  - He learns the value of those  $t$  variables.
- Reality with power attack
  - The attacker gets a sequence of power consumptions correlated to the variables.
  - Noisy leakage but not limited to  $t$  variables



Probing model

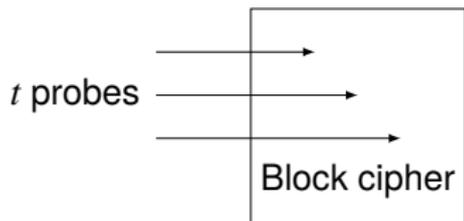
Real life leakage



# Relevance of probing model

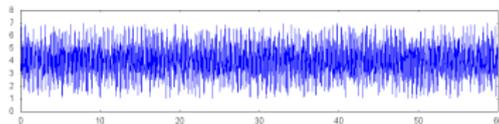
- $t$ -probing model

- With security against  $t$  probes, combining  $t$  power consumption points as in a  $t$ -th order DPA will reveal no information to the adversary.
- To recover the key, attacker must perform an attack of order at least  $t + 1 \Rightarrow$  more complex.



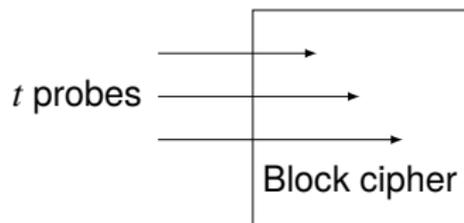
Probing model

Real life leakage



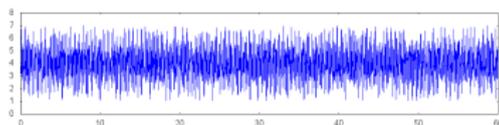
# Probing Model vs. Reality

- Noisy leakage model
  - All variables leak independently with noise
  - Closer to reality
- Probing model vs noisy leakage model
  - Security in probing model  $\Rightarrow$  security in noisy leakage model [DDF14]



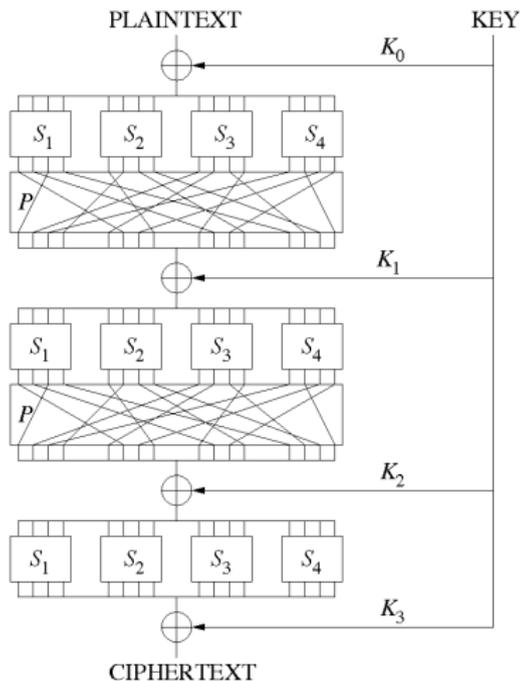
Probing model

Real life leakage



# Application to masking AES

- AES: Substitution-permutation network (SPN)
  - Several rounds of SBoxes and linear layer.



# High-order masking of AES

## Ishai-Sahai-Wagner private circuit [ISW03]

- Transform any Boolean circuit  $C$  into a circuit  $C'$  of size  $O(|C| \cdot t^2)$  perfectly secure against  $t$  probes, using  $n = 2t + 1$  shares.
- Masking AES: generic approach
  - First write AES as a Boolean circuit  $C$  and apply [ISW03], with complexity  $O(t^2)$ .
  - too inefficient.
- Masking linear operations (MixColumns):
  - Easy: compute the  $f(x_i)$  separately

$$x = x_1 \oplus x_2 \oplus \dots \oplus x_n$$

$$f(x) = f(x_1) \oplus f(x_2) \oplus \dots \oplus f(x_n)$$

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# Secure SBox Computation

## Secure Computation of $S(x)$

- **Inputs:**  $(x_i)_i$  such that
  - $x_1 \oplus x_2 \oplus \dots \oplus x_n = x$
- **Output:**  $(y_i)_i$  such that
  - $y_1 \oplus y_2 \oplus \dots \oplus y_n = S(x)$

[RP10] countermeasure for AES: compute  $S(x) = x^{254}$



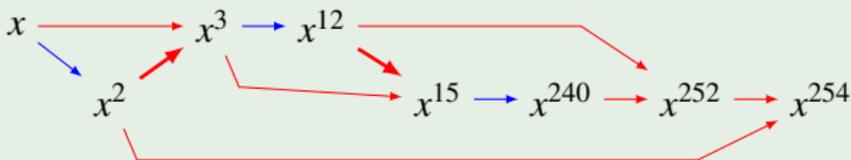
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- 7 linear squarings

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- 7 linear squarings

# Secure multiplication over $\mathbb{F}_{2^8}$ : ISW

- Goal: compute  $c = a \cdot b$  securely over  $\mathbb{F}_{2^8}$

Decomposition of the  $c_i$  over  $\mathbb{F}_{2^8}$

$$\bigoplus_i c_i = \left( \bigoplus_i a_i \right) \left( \bigoplus_i b_i \right) = \bigoplus_{i,j} a_i b_j$$

Example of ISW over  $\mathbb{F}_{2^8}$  for  $n = 3$

$$\begin{pmatrix} a_1 b_1 & r_{1,2} & r_{1,3} \\ (r_{1,2} \oplus a_2 b_1) \oplus a_1 b_2 & a_2 b_2 & r_{2,3} \\ (r_{1,3} \oplus a_3 b_1) \oplus a_1 b_3 & (r_{2,3} \oplus a_3 b_2) \oplus a_2 b_3 & a_3 b_3 \end{pmatrix} \begin{array}{l} \rightarrow c_1 \\ \rightarrow c_2 \\ \rightarrow c_3 \end{array}$$

# Summary: high-order masking of AES

- High-order masking of AES

- Input:  $n$  shares  $sk = sk_1 \oplus sk_2 \oplus \dots \oplus sk_n$ , and a message  $m$
- First encode  $m = m_1 \oplus \dots \oplus m_n$
- Process linear operations with  $n$  shares (easy)
- For SBoxes, write  $x^3 = x \times x^2$  and
$$S(x) = x^{254} = (x)^2 \times (x^3)^4 \times (x^3 \times (x^3)^4)^{16} \in \mathbb{F}_{2^8}$$
- Apply ISW for secure multiplication over  $\mathbb{F}_{2^8}$
- Output: decode  $c = c_1 \oplus \dots \oplus c_n$

- Complexity:  $O(n^2)$

## Security

- Provably secure against  $t$  probes with  $n = 2t + 1$  shares
  - Possible with  $n = t + 1$  shares using mask refreshing

# Extension to any SBOX

- Use Lagrange interpolation over  $\mathbb{F}_{2^k}$  [CGP12]

$$S(x) = \sum_{i=0}^{2^k-1} \alpha_i \cdot x^i$$

over  $\mathbb{F}_{2^k}$ , for constant coefficients  $\alpha_i \in \mathbb{F}_{2^k}$ .

- One can evaluate the polynomial with only  $O(2^{k/2})$  multiplications.
- Asymptotic complexity is therefore  $O(2^{k/2} \cdot n^2)$ .

# Proof of security for ISW multiplication

- Input:  $a_i$  and  $b_i$
- Output:  $c_i$  such that  $\bigoplus_i c_i = (\bigoplus_i a_i) \cdot (\bigoplus_i b_i)$
- Algorithm: for each  $1 \leq i < j \leq n$ , let  $r_{ij} \leftarrow \{0, 1\}$  and

$$z_{ij} \leftarrow r_{ij}$$

$$z_{ji} \leftarrow (z_{ij} \oplus a_i b_j) \oplus a_j b_i$$

$$c_i \leftarrow a_i b_i \oplus \bigoplus_{j \neq i} z_{ij}$$

## Security property

- Any set of  $t$  probes can be perfectly simulated with the knowledge of  $a_{|I}$  and  $b_{|I}$ , for some subset  $I$  with  $|I| \leq 2t$
- where  $a_{|I} = (a_i)_{i \in I}$ .

# Proof of security for ISW multiplication

- Construction of the set  $I$ .

- Initially  $I \leftarrow \emptyset$ .
- If a wire  $a_i, b_i, a_i b_i, z_{ij}$  (for  $i \neq j$ ) is probed, add  $i$  to  $I$ .
- Same for a sum of values of the above form, including  $c_i$ .
- For the wires  $a_i b_j$  or  $z_{ij} \oplus a_i b_j$  for  $i \neq j$ , add both  $i, j$  to  $I$
- We have  $|I| \leq 2t$

$$\begin{pmatrix} a_1 b_1 & \cdots & z_{1,i} & \cdots & z_{1,n} \\ \vdots & \ddots & & & \vdots \\ z_{i,1} & \cdots & a_i b_i & \cdots & z_{i,n} \\ \vdots & & & \ddots & \vdots \\ z_{n,1} & \cdots & z_{n,i} & \cdots & a_n b_n \end{pmatrix} \begin{matrix} c_1 \\ \vdots \\ c_i \\ \vdots \\ c_n \end{matrix}$$

# Simulation of the probes

- We must show that all probes can be perfectly simulated using only  $a_{|I}$  and  $b_{|I}$ 
  - Simulation of probed  $a_i, b_i, a_i b_i$ : obvious since  $i \in I$
  - Same for probed  $a_i b_j$  and  $z_{ij} \oplus a_i b_j$ , since  $i, j \in I$
  - There remains the probed  $z_{ij}$ 's and sums of  $z_{ij}$ 's, including  $c_i$ . We must have  $i \in I$ .
- We would like to show that if  $i \in I$ , we can simulate all  $z_{ij}$  for  $i \neq j$ .

$$\begin{pmatrix} a_1 b_1 & \cdots & z_{1,i} & \cdots & z_{1,n} \\ \vdots & \ddots & & & \vdots \\ z_{i,1} & \cdots & a_i b_i & \cdots & z_{i,n} \\ \vdots & & & \ddots & \vdots \\ z_{n,1} & \cdots & z_{n,i} & \cdots & a_n b_n \end{pmatrix} \begin{matrix} c_1 \\ \vdots \\ c_i \\ \vdots \\ c_n \end{matrix}$$

# Simulation of row $i$ for $i \in I$

- Goal: show that in row  $i$  for  $i \in I$ , we can simulate all  $z_{i,j}$  for  $i \neq j$ .
  - Therefore we can also simulate the partial sums of  $z_{ij}$ , and the final sum  $c_i$ .
- Simulation of  $z_{ij}$  for  $j > i$ 
  - Easy because  $z_{ij} = r_{ij}$  where  $r_{ij} \leftarrow \{0, 1\}$

$$\begin{pmatrix} a_1 b_1 & \cdots & z_{1,i} & \cdots & z_{1,n} & c_1 \\ \vdots & \ddots & & & \vdots & \vdots \\ z_{i,1} & \cdots & a_i b_i & \cdots & z_{i,j} & \cdots & z_{i,n} & c_i \\ \vdots & & & \ddots & \vdots & & \vdots & \vdots \\ z_{n,1} & \cdots & z_{n,i} & \cdots & & & a_n b_n & c_n \end{pmatrix}$$

# Simulation of row $i$ for $i \in I$

- Simulation of  $z_{ij}$  for  $j < i$ :

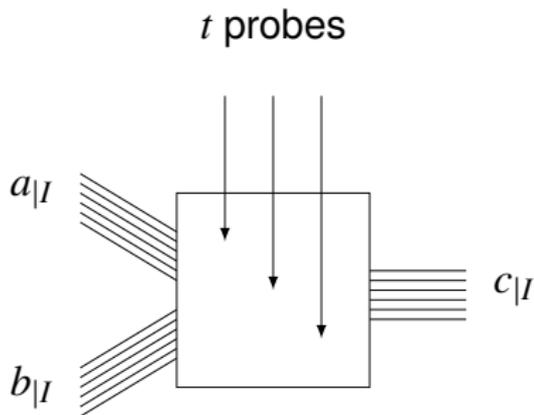
$$z_{ij} = (z_{ji} \oplus a_j b_i) \oplus a_i b_j$$

- where  $z_{ji} = r_{ji}$  with  $r_{ji} \leftarrow \{0, 1\}$
- If  $j \in I$ , easy, since we know  $a_i, b_i, a_j$  and  $b_j$ .
- If  $j \notin I$ , then  $z_{ji}$  is not used in another probe.
  - Nothing in row  $j$  has been probed, otherwise  $j \in I$ .
  - $z_{ji}$  is a one-time-pad, so we can simulate  $z_{ij}$  as  $z_{ij} \leftarrow \{0, 1\}$ , without knowing  $a_j$  and  $b_j$ .

$$\begin{pmatrix} a_1 b_1 & \cdots & z_{1,i} & \cdots & z_{1,n} & c_1 \\ \vdots & \ddots & z_{j,i} & & \vdots & \vdots \\ z_{i,1} & \cdots & z_{i,j} & \cdots & a_i b_i & \cdots & z_{i,n} & c_i \\ \vdots & & & & & \ddots & \vdots & \vdots \\ z_{n,1} & \cdots & z_{n,i} & \cdots & a_n b_n & & c_n \end{pmatrix}$$

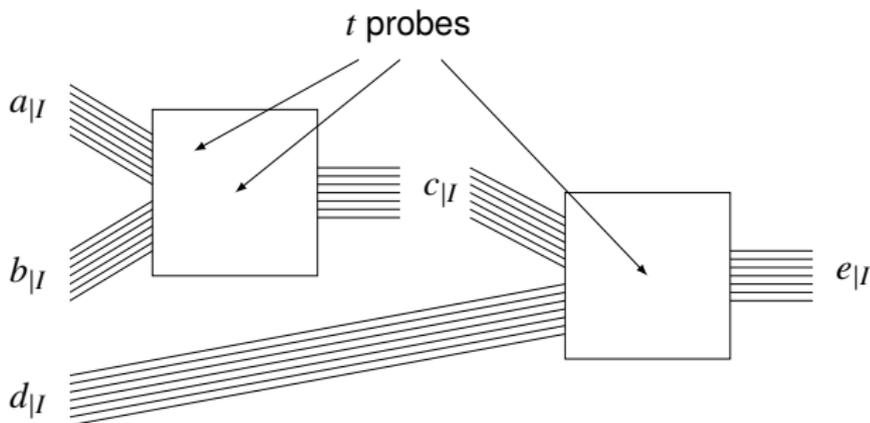
# Summary: simulation for a single gate

- For a single gate, we can simulate any set of  $t$  probes
  - using a subset  $a_{|I}$  and  $b_{|I}$  of the input shares, for  $|I| \leq 2t$ .
  - We can also simulate the output shares  $c_{|I}$



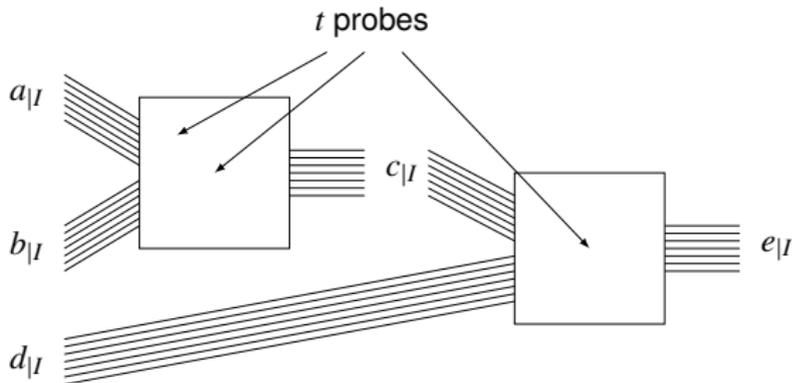
# Simulation for a full circuit

- Simulation for a full circuit:
  - We examine all gadgets as previously, building a common set  $I$ , still with  $|I| \leq 2t$
  - We can perform the simulation inductively, from input to output, using only the shares in  $I$ .



# Simulation for a full circuit

- Simulation for a full circuit:
  - With  $|I| \leq 2t < n$ , the input variables in  $a_{|I}$ ,  $b_{|I}$ ,  $d_{|I}$  can be perfectly simulated by generating random bits.



## Security of ISW transform [ISW03]

- Any circuit  $C$  can be transformed into a circuit of size  $O(|C| \cdot t^2)$  perfectly secure against  $t$  probes.

# Conclusion

- Side-channel attacks
  - Timing attack, power attack, fault attack
- Side-channel countermeasures
  - Generic high-order Boolean masking: provable security against  $t$  probes with [ISW03], with complexity  $O(t^2)$
  - High-order masking of AES: ISW multiplication over  $\mathbb{F}_{2^8}$  [RP10]
- New: post-quantum algorithms (Kyber, Dilithium)
  - Usually combine arithmetic and Boolean operations
  - Conversion between Boolean and arithmetic masking
  - High-order polynomial comparison for FO transform

# References

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- RP10** *Provably Secure Higher-Order Masking of AES.* Matthieu Rivain, Emmanuel Prouff, CHES'10.
- DDF14** *Unifying Leakage Models: from Probing Attacks to Noisy Leakage.* Duc, Dziembowski, Faust, EUROCRYPT'14